



# TRANSMITTAL FORM

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Application Number	10/698,237
Filing Date	October 31, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Total Number of Pages in This Submission	12
Attorney Docket Number	PARCR 66289

## ENCLOSURES (check all that apply)

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP		
Signature			
Date	April 26, 2004		

## CERTIFICATE OF TRANSMISSION/MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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John Nagy  
John Nagy, Reg. No. 30462

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/698,237  
Applicant : Lilip Lau, Anuja Patel  
Filed : October 31, 2003  
Art Unit : 3736  
Examiner : Unassigned  
Title : CARDIAC HARNESS

Docket No.: : PARCR 66289  
Customer No. : 24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and is submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). Enclosed is a copy of an Information Disclosure Statement and Notice of References Cited (Form PTO/SB/08a) as filed in the parent application, U.S. Serial No. 10/338,934. The references listed were previously submitted or were cited in parent application Serial No. 10/338,934. It is believed that these references will be helpful during examination of the application.

This application is a continuation in part of U.S. Serial No. 10/338,934 filed on January 7, 2003 which claims benefit of provisional application 60/346,788 filed on

January 7, 2002. A copy of the documents listed on the Information Disclosure Statement by Applicant Forms PTO/SB/08A can be found in U.S. Serial No. 10/338,934.

Also enclosed are five references which are additional prior art references submitted for review, including three foreign patents: PCT WO 98/58598; PCT WO 00/06027; and one article: Jay N. Cohn M.D., The Management of Chronic Heart Failure, The New England Journal of Medicine, Vol. 335, No. 7, pp. 490-498, August 15, 1996. The reference PCT WO 00/06027 is in the German language and no written English-language translation of this reference currently is within the possession custody or control of, or readily available to any individual designated in 37 C.F.R. § 1.56(c).

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: John Nagy  
John S. Nagy  
Registration No. 30,664

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Substitute for form 1449A/PTO *TRADEMARK*

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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## Sheets

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of 2

**Complete if Known**

Application Number	10/698,237
Filing Date	October 31, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 66289

## U.S. PATENT DOCUMENTS

11

## FOREIGN PATENT DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

44776.1

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/322,350
(use as many sheets as necessary)		Filing Date	December 18, 2002
		First Named Inventor	Cox et al.
		Group Art Unit	3731
		Examiner Name	Sweet, Thomas
		Attorney Docket Number	ACS 62586 (3492P)
Sheet	2	of	2

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WII © Standard 51, 10.11 possible. Application is to place a class mark for "English Language Translation Services". This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.